PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE eduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page 1 of 7

	Complete If Known
Application Number	10/600,298
Filing Date	June 20, 2003
First Named Inventor	NIKOLCHEV, JULIAN N., et. al.
Art Unit	3764
Examiner Name	Unassigned
Attorney Docket Number	016355-002580US

	U.S. PATENT DOCUMENTS									
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
MMR ]	, 1	RE 29,345	08-09-1977	· Ert	)					
1	2	2,365,296	12-19-1944	Schin	npf	···-				
	. 3	3,042,030	07-03-1962	Rea	d					
	4	3,334,629	08-08-1967	Cot	n					
	5	3,404,682	10-08-1968	Wald	ron					
	6	3,405,711	10-15-1968	Baku	nin		•			
	7	3,422,813	01-21-1969	Braley	et al.					
	8	3,463,141	08-26-1969	Moz	olf					
	9	3,467,090	09-16-1969	Zolle	ett					
_	10	3,561,438	02-09-1971	Can	el					
	11	3,598,115	08-10-1971	Horne	, Jr.					
	12	3,675,642	07-11-1972	_ Lor	d ·					
	13	3,680,542	08-01-1972	Clim	ber					
	14	3,687,129	08-29-1972	Nuwa	yser					
<del></del>	15	3,722,500	03-27-1973	Robin	ison		DECEIVED			
	16	3,768,102	10-30-1973	Kwan-Ge	Kwan-Gett et al.		HEULI			
	17	3,774,600	11-27-1973	Cog	Cognat		3 2003			
	18	3,803,308	04-09-1974	Zipp	oer		SEP 0 3 2003			
	19	3,805,767	04-23-1974	Er	b		TO PA			
<del></del>	20	3,858,571	01-07-1975	Rudo	olph		ECHNOLOGY CENTER 13			
	21	3,858,586	01-07-1975	Less	en					
	22	3,868,956	03-04-1975	Alfidi	et al.					
	23	3,918,431	11-11-1975	Sinnr	eich					
<b></b>	24	3,926,195	12-16-1975	Bleier	et al.					
_	25	3,973,560	08-10-1976	Emn	nett					
	26	4,057,063	11-08-1977	Gieles	et al.					
	27	4,111,196	09-05-1978	Emn	nett					
	28	4,135,495	01-23-1979	Borg	Borgen					
	29	4,136,695	01-30-1979	Daf	Dafoe					
	30	4,158,050	06-12-1979	Zipj	Zipper					
	31	4,160,446	07-10-1979	Barrin	Barrington		····			
	32	4,181,725	01-01-1980	Voorhee	s et al.					
	33	4,185,618	01-29-1980	Coi	' <b>ө</b> у					
1	34	4,245,623	01-20-1981	Er	b					
Examiner Signature		and my Root		Date Considered	4	110	06			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information Is required by 37 CFR 1.97 and 1.98. The information Is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for farm A \$19/P.TO

(use as many sheets as necessary)

Page of

Complete If Known					
Application Number	10/600,298				
Filing Date	June 20, 2003				
First Named Inventor	NIKOLCHEV, JULIAN N., et. al.				
Art Unit	3764 .				
Examiner Name	Unassigned				
Attorney Docket Number	016355-002580US				

		Document Number	U.S. PATENT D		
xaminer	Cite No.	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MW	35	4,326,511	04-27-1982	Zimerman	
	36	4,353,363	10-12-1982	Sopena Quesada	
	37	4,365,621	12-28-1982	Brundin	
	38	4,374,523	02-22-1983	Yoon	
	39	4,416,660	11-22-1983	Dafoe	
	40	4,478,837	10-23-1984	Schenker	
	41	4,485,814	12-04-1984	. Yoon	
	42	4,509,504	04-09-1985	Brundin	
1	43	4,537,186	08-27-1985	Verschoof et al.	
	44	4,579,110	04-01-1986	Hamou	
	45	4,595,000	06-17-1986	Hamou	
	46	4,601,698	07-22-1986	Moudling, Jr.	
	47	4,606,336	08-19-1986	Zeluff	
	48	4,612,924	09-23-1986	Cimber	
	49	4,628,924	12-16-1986	Cimber	
	50	4,638,803	01-27-1987	Rand	
	51	4,700,701	10-20-1987	Montaldi	
	52	4,715,365	12-29-1987	Cimber	
	53	4,727,866	03-01-1988	Livesay et al.	
	54	4,731,052	03-03-1988	Seitz, Jr.	
	55	4,788,966	12-06-1988	Yoon	
	56	4,805,618	02-21-1989	Ueda et al.	DEOF
	57	4,808,399	02-28-1989	Rypacek et al.	RECEIVED
	58	4,824,434	04-25-1989	Seitz, Jr.	· · · · · · · · · · · · · · · · · · ·
	59	4,932,421	06-12-1990	Kaali et al.	SEP 0 3 2003
	60	4,932,422	06-12-1990	<del></del>	.]
	61	4,937,254	06-26-1990	Sheffield et al.	CHNOLOGY CENTER H3700
	62	4,983,177	01-08-1991	Wolf	ENIER RUZOO
	63	4,994,069	02-19-1991	Ritchart et al.	
	64	5,002,552	03-26-1991	Casey	
	65	5,065,751	11-19-1991	Wolf	
	66	5,095,917	03-17-1992	Vancaillie	
	67	5,176,692	01-05-1993	Wilk et al.	
V	68	5,207,684	05-04-1993	Nobles	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file. (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Applicant's unique citation designation number (optional). 2 Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 8 Applicant is to place a check mark here if English language Translation is attached.

AUG 2 9 ZOTS

PTO/SB/08A (04-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE . Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page 3 of 7

Complete if Known					
Application Number	10/600,298				
Filing Date	June 20, 2003				
First Named Inventor	NIKOLCHEV, JULIAN N., et. al.				
Art Unit	3764				
Examiner Name	Unassigned				
Attorney Docket Number	016355-002580US				

U.S. PATENT DOCUMENTS								
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
MAKE	. 69	5,226,911	07-13-1993	Chee	et al.		Tiguios reposit	
1 .	70	5,234,437	08-10-1993	Sep	etka			
	71	5,250,071	10-05-1993	Pale	mo	<u> </u>		
	72	5,261,916	11-16-1993	Enge	elson	1	•	
	73	5,304,194	04-19-1994	<del></del>	et al.			
	74	5,304,195	04-19-1994	Twyford,	Jr. et al.			
	75	5,312,415	05-17-1994	Pale	rmo			
	76	5,354,295	10-11-1994	Guglieli	ni et al.			
	77	5,354,309	10-11-1994	Schnepp-F	esch et al.		_	
	78	5,382,259	01-17-1995	Phelps	s et al.			
	79	5,458,636	10-17-1995	Bran	cato			
	80	5,474,089	12-12-1995	Way	nant			
	81	5,499,995	03-19-1996	Tein	stein			
	82	5,514,176	05-07-1996	Bosle	y, Jr.			
	83	5,522,822	06-04-1996	Pheip:	s et al.			
	84	5,555,896	09-17-1996	Cim	ber			
	85	5,556,396	09-17-1996	Coher	n et al.			
	86	5,634,877	06-03-1997	Sala	ama			
	87	5,725,777	03-10-1998	Ta	ylor			
	88	5,755,773	05-26-1998	Evans	et al.		FOEWED	
	89	5,795,288	08-18-1998	Coher	et al.	H	ECEIMED	
	90	5,843,158	12-01-1998	Lenke	r et al.			
	91	5,885,601	03-23-1999	So	kal		SEP 0 3 2003	
	92	5,897,551	04-27-1999	Evere	t et al.			
	93	5,954,715	09-21-1999	Harrignt	on et al.	ECHN	OLOGY CENTER R3700	
	94	5,976,162	11-02-1999	Do	an			
	95	5,979,446	11-09-1999	Lo	Loy			
	96	6,042,590	03-28-2000	Sporr	Sporri et al.			
	97	6,066,139	05-23-2000	Ryan et al.				
	98	6,068,626	05-30-2000	Harrington et al.				
	99	6,096,052	08-01-2000	Callister et al.		Ĺ		
	100	6,143,007	11-07-2000	Mur	iant			
	101	6,164,280	12-26-2000	Evere	t et al.			
V	102	6,176,240	01-23-2001	Nickolch	ev et al.			
Examiner Signature		mals MARON		Date Considered	4	10	06	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Applicant's unique citation designation number-(optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here it English language Translation is attached.

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Rader Acid Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

(use as many sheets as necessary)

Page	4	 of	7	

Complete If Known					
Application Number	10/600,298				
Filing Date	June 20, 2003				
First Named Inventor	NIKOLCHEV, JULIAN N., et. al.				
Art Unit	3764				
Examiner Name	Unassigned				
Attorney Docket Number	016355-002580US				

U.S. PATENT DOCUMENTS						
Examiner	Cite No.	Number Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
MMM	103	6,526,979	03-04-2003	Nickolchev et al.	r igalos r pposi	

Examiner	Cite	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines,	
Initials* No.	Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Т	
	104 ســ	CN	1047447	12-05-1990			
1	105	DE	2404605	08-07-1975			
	106	DE	2525650	12-15-1976			
	107	DE	2537620	02-24-1977			
	108	DE	2635863	02-24-1977			
	109	DE	28 03 685	08-02-1979			
	110	DE	2913036	10-02-1980			
	111	EP	0 010 812	05-14-1980			
	112	EP	0891757	01-20-1999			
	113	EP	105669	09-21-1983	REO	FIVED	
	114	EP	183372	10-18-1985		7.4	
	115	EP	241971	03-25-1987	SEP	0 8 2003	
	116	EP	421966	09-19-1990	77-01		
	117	GB	1460077	12-31-1976	LECHNOFOG.	GENTER POVO	
	118	GB	1530565	11-01-1978			
	119	GB	2 038 186	07-23-1980			
	120	GB	2 221 095	06-28-1989			
	121	GB	2010728	07-04-1979			
	122	GB	2150439	07-03-1985			
	123	GB'	2211095	06-28-1989			
	124	NL	7810696	04-29-1990			
	125	WO	00/44323	08-03-2000			
	126	wo	01/37760	05-31-2001			
	127	wo	88/09648	12-15-1988			
V	128	ЖО	93/06834	04-15-1993			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English tanguage Translation is attached.

AUG 2 9 2003

of

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

016355-002580US

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Beduction AB of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete If Known **Application Number** 10/600,298 INFORMATION DISCLOSURE **Filing Date** June 20, 2003 STATEMENT BY APPLICANT NIKOLCHEV, JULIAN N., et. al. First Named Inventor Art Unit 3764 (use as many sheets as necessary) **Examiner Name** Unassigned 7 Page

Attorney Docket Number

FOREIGN PATENT DOCUMENTS								
5	Cita	Fore	eign Patent Document		Name of Patentee or	Pages, Columns, Lines,	1	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code* (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	۲ ا	
AMR	129ر	wo	94/06503	03-31-1994				
	130	wo	94/10936	05-26-1994				
	131	wo	94/11051	05-26-1994				
	132	wo	94/24944	11-10-1994				
	133	wo	95/25490	09-28-1995				
	134	wo	97/12569	04-10-1997				
	135	WO	97/49345	12-31-1997	<u></u>		Ī	
	136	wo	98/26737	06-25-1998				
	137	wo	98/55046	12-10-1998				
	138	wo	98/26737	06-25-1998				
V	139	WO	98/31308	07-23-1998				

RECEIVED

SEP 0 3 2003

**TECHNOLOGY CENTER R3700** 

Examiner Date 10/06 Considered Signature

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (04-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Underthe Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Complete if Known

Application Number 10/600,298

Filing Date June 20, 2003

First Named Inventor NIKOLCHEV, JULIAN N., et. al.

Art Unit 3764

(use as many sheets as necessary) Examiner Name Unassigned

Page 6 of 7 Attorney Docket Number 016355-002580US

AUG. 2 9 2003

153

NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T<sup>2</sup> Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Examiner No.1 Initials \* number(s), publisher, city and/or country where published. Brueschke et al., "A steerable hysteroscopic and mechanical tubal occlusive devices" Advances in 140 Female Sterilization Techniques, Sciarra et al., Eds., Harper & Row, Publishers, (1976) pp. 182-198. Brueschke, E.E., et al., "Transcervical tubal occlusion with a steerable hysteroscope: Implantation of 141 devices into extirpated human uteri," Am. J. Obstet. Gynecol., Vol. 127, No. 2, pp. 118-124, 1977. Brundin, J., "Transcervical sterilization in the human female by hysteroscopic application of hydrogelic 142 occlusive devices into the intramural parts of the Fallopian tubes: 10 years experience of the P-block," European Journal of Obstetrics & Gynecology and Reproductive Biology, Vol. 39, pp. 41-49, 1991. Conceptus Annual Report (1995) pp. 3, 5, 7, 9, 13-14, and 22. 143 Conceptus Annual Report (1996) pp. x, 3, 9, and 13-14. 144 Cooper, "Hysteroscopic Sterilization" Fertility Control (1985) Corson et al., Eds., Little, Brown & Co., Boston, First Edition, pp. 119-131. 145 Copy of Request for Interference with U.S. Patent No. 6,096,052 under 37 CFR §1.607 and 146 SECOND PRELIMINARY AMENDMENT, filed on October 3, 2001 with U.S. Patent Office. Corfman, "An instrument for transcervical treatment of the oviducts and uterine cornua" Obstetrics and 147 Gynecology (1996) 27(6):880-884. Darabi et al., "Collaborative Study on Hysteroscopic Sterilization Procedures: Final Report" Risks, Benefits, and Controversies in Fertility Control (1978) Sciarra et al., Eds., Harper & Row, New York, 148 pp. 81-101. Erb, R.A. et al., "Hysteroscopic Oviductal Blocking with Formed-in-Place Silicone Rubber Plugs," 149 Journal of Reproductive Medicine, pp. 65-68, August, 1979. Gordon, A.G., et al., Atlas of Gynecologic Endoscopy, Mosby-Wolfe Press, 2<sup>nd</sup> Edition, 1995 (Title SEP 0 3 2003 150 Page and Table of Contents are enclosed herewith). Gupta, D.N. et al., "Antifertility Effect of an Intrafalloplan Tubal Copper Device," Indian J. Exp ECHNOLOGY CENTER R3700 151 Vol. 14, pp. 316-319, May, 1976. Hamou, J. et al., "Hysteroscopic Reversible Tubal Sterilization," ACTA Europaea Fertilitatis, Vol. 15, 152 No. 2, 1984.

	<u> </u>	<u>i</u>			
Examiner Signature	Mal	MARON	Date Considered	410	06

Hosseinian et al., "Hysteroscopic Implantation of Uterotubal Junction Blocking Devices," Advances in

Female Sterilization Techniques, (1976) Sciarra et al., Eds., Harper & Row, New York, pp. 169-175.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/08B (04-03)
Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Withe Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO Complete If Known **Application Number** 10/600,298 INFORMATION DISCLOSURE June 20, 2003 Filling Date STATEMENT BY APPLICANT **First Named Inventor** NIKOLCHEV, JULIAN N., et. al. Art Unit 3764 (use as many sheets as necessary) Unassigned **Examiner Name** 016355-002580US Page of Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
amp	154	Quinones et al., "Hysteroscopic Sterilization" Int. J. Gynaecol. Obstet. (1976) 14:27-34.	
1	155	Reed, T.P. et al., "Tubal Occlusion with Silicone Rubber," The Journal of Reproductive Medicine, pp. 25-28, July, 1980.	
	156	Richart et al., "Evaluation of Polymer Flock and Metal Alloy Intra-Tubal Device in Pigtail Monkeys" Contraception (1978) 18(5):459-468.	
	157	Ross, P.L. et al., "Transcatheter Tubal Sterilization in Rabbits," <u>Investigative Radiology</u> , Vol. 29, No. 5, pp. 570-573, 1994.	
	158	Sciarra, "Heteroscopic Approaches for Tubal Closure" Research Frontiers in Fertility Regulation (1980) Zatuchni et al., Eds., Harper & Row, New York, pp. 270-286.	
	159	Sciarra, J.J., et al., eds., <u>Advances in Female Sterilization Techniques</u> , Harper & Row, Publishers, 1976, Title Page and Table of Contents are enclosed herewith, pp. 169-181, 186-189.	
V	160	Steptoe, Patrick C., "The Potential Use of Intratubal Stents for Reversible Sterilization," <u>Laparoscopy</u> , pp. 91-99, circa 1976.	

## RECEIVED

SEP 0 3 2003

TECHNOLOGY CENTER R3700

Examiner Signature Date Considered 41000

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete if Known Substitute for Form 1449/PTO 10/600,298 **Application Number** INFORMATION DISCLOSURE June 20, 2003 Filing Date TEMENT BY APPLICANT First Named Inventor: Julian N. Nikolchev (use as many sheets as necessary) Art Unit 3743 JUN 2 3 2005 **Examiner Name** Andrea Ragonese 006701.P002XC4 **Attorney Docket Number** 006701.P002XC4 3 **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Publication Date Name of Patentee or Examiner Cite No.1

Initials*		Document Number				Where Relevant Passages or Relevant
Au. O		Numbe	r-Kind Code <sup>2</sup> (If known)			Figures Appear
ame		US-	3,895,634	7/22/75	Berger, et al.	
		US-	2003/0220636	11/27/03	Bowman, et al.	
		US-	5,377,668	1/3/1995	Ehmsen	
		US-	3,982,542	9/28/76	Ford, et al.	
		US-	5,122,136	6/16/92	Guglielmi, et al.	
	• • • • • • • • • • • • • • • • • • • •	US-	6,309,384 B1	10/30/01	Harrington, et al.	
		US-	6,346,102 B1	2/12/02	Harrington, et al.	
		US-	2002/0072744	6/13/02	Harrington, et al.	
		US-	2001/0016738	6/13/02	Harrington, et al.	
		US-	4,246,896	1/27/81	Horne, Jr., et al.	
		UŞ-	(;; ;; 5,192,301 ;; ;; ;; ;; ;	110.3/9/93	Kamiya, et al.	.5 .
	····	US-	3,760,806	09/1973	Leeper	
		US-	4,821,741	04/1989	Mohajer	
		US-	6,145,505	11/14/00	Nikolchev	
		US-	6,679,266 B2	1/20/04	Nikolchev	
	<del></del>	US-	6,526,979	3/4/03	Nikolchev	
	· · · · · · · · · · · · · · · · · · ·	US-	5,935,137	. 8/10/99	Saadat	
		US-	4,724,832	02/1988	Strubel, et al.	
	,	US-	5,303,719	4/19/94	Wilk, et al.	
V		US-	4,085,743	4/25/78	Yoon	

	Cite No.1	Country Code' Number' Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te
		32520/78	1/18/78	Australia		
	,	WO83/00011	06/16/82	WO		
		WO99/15116	4/1999	WO		
1		W/Q80/02369	5/5/80	WO		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

Substitute for Form 1449/PTO					Complete if Known				
INEO	INFORMATION DISCLOSURE				Application Number 10/600,298				
					Filing Date June 20, 2003				
STAT	EME	NT B	Y APF	PLICANT	First Named Inventor:	Julian N. Nikolchev			
	(use as m	any shee	ts as neces	sary)	Art Unit 3743				
					Examiner Name	Andrea Ragonese			
Sheet	2		of	3	Attorney Docket Number	006701.P002XC4			
				NON PATENT L	TERATURE DOCUMENTS				
Examiner Initials*	Cite No <sup>1</sup>	ite	m (book, n	nagazine, journal, s number(s), pul	serial, symposium, catalog, etc blisher, city and/or country whe		T²		
amp	,	Advance Publish	ces in Fer ners, (197	nale Sterilization 6) pp. 182-198	Techniques, Sciarra et al.,				
		Implan 2, pp. 1	tation of c	levices into extirp 1977.		Obstet. Gynecol., Vo. 127, No.			
		BRUNI	ϽΙΝ, J.,."T	ranscervical ster	ilization in the human fema	le by hysteroscopic application	1		
		of hydr	ogelic oc	ciusive devices in a P-block:" Furor	nto the intramural parts of the	e fallopian tubes: 10 years  Gynecology and Reproductive			
1 1,836		experience of the P-block," European Journal of Obsetrics & Gynecology and Reproductive Biology, Vol. 39, pp. 41-49, 1991							
7 92.301 10.803		Conceptus Annual Report (1995) pp. 3, 5, 7, 9, 13-14, and 22.							
33.305		1. 1/1.2 3. 4	महोन संभ	Hauster Belgin					
	- ,	Brown	& Co., Bo	oston, First Editio	n, pp. 119-131.	5) Corson et al., Eds., Little,	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		
		37 CFI U.S. P	R §1.607 atent Office	AND SECOND P	RELIMINARY AMENDMEN	TENT NO. 6,096,052 UNDER NT, filed October 3, 2001 with			
		CORFMAN, "An instrument for transcervical treatment of the oviducts and uterine comua" Obstetrics and Gynecoloty (1996) 27(6): 880-884.							
		DARABI et al., "Collaborative Study on Hysteroscopic Sterilization Procedures: Final Report" Risks, Benefits, and Controversies in Fertility Control (1978) Sciarra et al., Eds., Harper & Row, New York, pp. 81-101							
		Plugs,	' The Jou	rnal of Reproduct	tive Medicine, pp. 65-68, Au				
		GORD 1995 (	ON, A.G.	, et al., Atlas of C	Synecology Endoscopy, Montents are enclosed herewi	sby-Wolfe Press, 2 <sup>nd</sup> Edition, th).			

Examiner Signature	linds	YVYRA	<del></del>	Date Considered	4	10	06
Examiner: Initial it refer	rence considered	whether or not citation	is in conformance with MPEP 609	Draw line through citation if not in conform	ance and not	consid	dered. Include

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Includ copy of this form with next communication to applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

					<del> </del>				
Substitute t	or Form 14	149/PTO				Complete if Known			
INFO	RMAT	ION	DISC	LOSURE	Application N	lumber	10/600,298		
					Filing Date	<del></del>	June 20, 2003  Julian N. Nikolchev		
SIA				PLICANT	First Named	Inventor:			
	(use as n	nany shee	ts as neces	sary)	Art Unit		3743		
·		·			Examiner Na	me	Andrea Ragonese		
Sheet	3	of 3 Attorney Docket Number 006701.P002XC4							
				NON PATENT L	ITERATURE D	OCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	ite	m (book, r	nagazine, journal, s number(s), pu	serial, symposiun blisher, city and/	n, catalog, etc.), d or country where p		T <sup>2</sup>	
amac		Exp. B	iol., Vol.	14, pp. 316-319, I	May, 1976.		l Copper Device," Indian J.		
		Vol. 15	5, No. 2, 1	984.			" ACTA Europea Ferilatis,		
	i viz	Advand New Y	ces in Fe	male Sterilization 69-175.	Techniques, (	1976) Sciarra et	Junction Blocking Devices," al., Eds., Harper & Row,	Jan 1	
		QUINC	NES et a	al., "Hysteroscopi	c Sterilization"	Int. J. Gynaecol	Obstet. (1976) 14:27-34.		
	in a v	, , , ,		al., "Tubal Occlusi 5-28, July 1980.	ion with Silicon	e Rubber," The	Journal of Reproductive		
		RICHA Monke	ART et al. eys" Conti	, "Evaluation of Praception (1978)	olymer Flock a 18(5):459-168	nd Metal Alloy li	ntra-Tubal Device in Pigtail		
		Vol. 29	), No. 5, p	op. 570-573, 1994	4.		"Investigative Radiology,		
		SCIARRA, "Heteroscopic Approaches for Tubal Closure" Research Frontiers in Fertility Regulation (1980) Zatuchni et al., Eds., Harper & Row, New York, pp. 270-286.							
	SCIARRA, J.J., et al., eds., Advances in Female Sterilization Techniques, Harper & Row, Publishers, 1976, Title page and Table of Contents are enclosed herewith, pp. 169-181, 186-189.								
		Steriliz	zation," La	TRICK C., "The Paparoscopy, pp. 9	91-99, Circa 19	76.			
		Comp	lete Chine	ese -to English tr	anslation of Ch	inese Patent Pu	ublication No. CN 1047447A		
<u> </u>		7	1	$\wedge$					
			-	<del>/</del>					

Examiner Signature Date Considered 4 10 06

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.